Major Changes to NIH’s S10 Programs

Financial Plan
A more detailed five year Financial Plan providing detailed information about anticipated expenditures and income is now required. Specifically, salary support of expert personnel, maintenance costs, necessary supplies, anticipated income and institutional commitment (including space to house the instrument and sample prep site if necessary for five years or the effective life of the instrument) must be included. A table must be included with anticipated expenditures and anticipated income showing how these estimates were derived. For year one specific dollar amounts are required; for year 2-5 approximate dollars are recommended.

Institutional Commitment
The institution must commit to provide backup of the financial plan for five years or the effective life of the instrument should the Financial Plan fall short. The NIH also requires a separate letter of Institutional Commitment that describes support toward the maintenance and operation including institutional support for personnel.

Review Criteria
The Scored Review Criteria places greater scrutiny on the financial plan and institutional commitment. Reviewers are instructed to question whether the financial plan and institutional commitment are adequate, and the expected usable lifetime of the instrument is reasonable.

Advisory Committee
Proposals must identify a local advisory committee, which must include at least one institutional official (higher than the departmental level). If the proposal is awarded, the Advisory Committee will be required to provide a report to be incorporated in the Final Progress Report.

Institutional Award History
Proposals must summarize all S10 grants made to the institution within the past five years (list prepared by ORI).